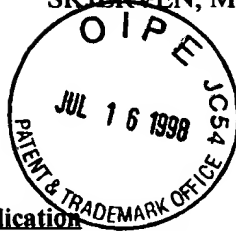


LAW OFFICES OF
SKIERVEN, MORRILL, MacPHERSON, FRANKLIN & FRIEL LLP

January 23, 1998

Box Patent Application
Commissioner of Patents and Trademarks
Washington, D. C. 20231

Enclosed herewith for filing is a patent application, as follows:

Inventors: Duan-Cheng HSIEH
 Title: "Lighting Fitting"

- 4 Sheets of drawings
- 10 pages Specification and Title Page
- 3 pages Claims
- 1 page Abstract
- 4 pages Declaration/Power of Attorney
- 1 page Independent Inventor's Declaration
- ☐ _ page(s) Assignment
- ☐ _ page(s) Recordation Cover Sheet
- ☒ Certified copy of Taiwan Patent Application No. 86217586 filed October 17, 1997

CLAIMS AS FILED (fees computed under \$1.9(1))

| For | Number Filed | | Number Extra | | Rate | \$ | Basic Fee <u>790.00</u> |
|--|-----------------|-------|-----------------|---|------|------|----------------------------|
| Total Claims | 5 | -20 = | 0 | x | \$22 | = \$ | 0.00 |
| Independent Claims | 1 | -3 = | 0 | x | \$82 | = \$ | 0.00 |
| <input type="checkbox"/> Application contains one or more multiple dependent claims (\$270 total fee) | | | | | | \$ | 0.00 |

Please make the following charges to Deposit Account 19-2386:

- ☒ Fee for filing the patent application in the amount of \$ 790.00
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account 19-2386.

A Return Post Card and this sheet in triplicate are enclosed.

EXPRESS MAIL LABEL NO:

EM 080 288 504 US

Respectfully submitted,

Alan H. MacPherson
 Attorney for Applicant
 Reg. No. 24,423

Dep & Ref RM-811

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Duan-Cheng Hsieh
Title: LIGHTING FITTING
Serial: 09/012,362
Examiner: Unassigned
Docket No.: AB-596 US

Filed: January 23, 1998
Group Art Unit: 2875

San Jose, California
July 13, 1998

COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D. C. 20231

ATTENTION: Refund Section, Accounting Division,
Office of Finance

REQUEST FOR REFUND

Sir:

Applicant respectfully requests a refund in the amount of \$ 395.00 which was erroneously authorized to be charged to Deposit Account No. 19-2386 on January 23, 1998.

The Applicant authorized the Commissioner of Patents and Trademarks at the time of filing to charge their deposit account (No. 19-2386) in the amount of \$ 790.00 for filing fees (see enclosed copy of transmittal letter).

Applicant notes that the official filing receipt indicates that the filing fee received was \$ 790.00 (copy of filing receipt enclosed). This is an incorrect amount; the correct filing fee for filing the application is \$ 395.00.


Applicant therefore requests a refund in the amount of \$ 395.00 (the difference between the actual filing fee of \$ 395.00 and the filing fee noted on the filing receipt of \$ 790.00).

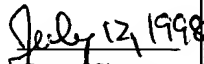
Applicant also encloses a copy of the Verified Statement Claiming Small Entity Status - Independent Inventor which accompanied the application filed on January 23, 1998.

In view of the enclosed Small Entity Declaration, Applicant requests a refund in the amount of \$ 395.00 (one-half of the large business filing fee) to Deposit Account No. 19-2386 for the filing fee paid on January 23, 1998.


If there are any questions regarding this request, please call Applicant's attorney at (408) 453-9200. This request is submitted in triplicate.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on July 13, 1998.


Attorney for Applicant


Date of Signature

Respectfully submitted,


Alan H. MacPherson
Attorney for Applicant
Reg. No. 24,423

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTORNEY DOCKET NO. | DRWGS | TOT CL | IND CL |
|--------------------|-------------|--------------|---------------|---------------------|-------|--------|--------|
| 09/012,362 | 01/23/98 | 2875 | \$790.00 | AB-596US | 4 | 5 | 1 |

SKJERVEN MORRILL MACPHERSON
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SAN JOSE CA 95110

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

DUAN-CHENG HSIEH, TAIPEI CITY, TAIWAN.

FOREIGN APPLICATIONS-

TAIWAN

86217586

10/17/97

TITLE

LIGHTING FITTING

PRELIMINARY CLASS: 362